



SYNAPTIC
SYSTEMS LIMITED

Personal Ethical Fact Find



Name of Client:

1) The Issues

i) Which of the following company activities would you like to aim to support?

Company Activity	Activity supported by fund
Basic Necessities	Yes / No
Community Involvement	Yes / No
Environmental Conservation	Yes / No
Environmental Technologies	Yes / No
Equal Opportunities	Yes / No
Healthcare & Safety	Yes / No
Positive Labour Relations	Yes / No
Training & Education	Yes / No

ii) Which of the following company activities would you like to aim to avoid investing in?

Company Activity	Activity avoided by fund
Alcohol - Production	Yes / No
Alcohol - Sale	Yes / No
Animal Intensive Farming – Retail	Yes / No
Animal Intensive Farming – Wholesale	Yes / No
Animal Testing – Cosmetics and Toiletries	Yes / No
Animal Testing – Pharmaceuticals	Yes / No
Environmental Abuse	Yes / No
Financial Services	Yes / No
Gambling	Yes / No
Human Rights Abuse	Yes / No
Military	Yes / No
Nuclear Energy	Yes / No
Pornography	Yes / No
Tobacco	Yes / No

2) Engagement

Is it important to you whether a fund management group is involved in trying to encourage better business practices?	Yes / No
Would you like details of the types of engagement activity the fund management group has been involved in?	Yes / No
Would you like to know more about the way in which the fund management group goes about this?	Yes / No
Do you consider it important for a fund management group to adopt an engagement process across their full ranges of funds, not just their ethical range?	Yes / No
Do you consider it important for a fund management group to engage with companies outside of the UK?	Yes / No

Note to Advisers: Actual fund policies and full engagement information is available via Synaptic Fund Manager and/or direct from the product provider or fund manager.

3) Broader Fund Management Group/ Product Provider Activity

The following areas can be indicators of a fund management group or product provider's commitment to responsible practices. Please indicate which ones you would like to know more about:

Whether they have a publicly available "Corporate Social Responsibility" report?	Yes / No
Whether they support the development of SRI through membership of the UKSIF (UK Social Investment Forum)?	Yes / No
Whether they are a signatory of the Eurosif Transparency Guidelines?	Yes / No

Additional comments:

To be completed by Adviser

Name of Adviser:

Company:

Adviser's signature:

Date:

To be completed by Client

Client's signature:

Date:

Synaptic Systems offer the functionality to identify suitable ethical funds to all "Fund Manager" users as standard.
To receive a FREE trial please contact sales@synaptic.co.uk or call 024 7683 9700